Series on International Arbitration



SWISS ARBITRATION ACADEMY

Volume 6 Selected Papers on International Arbitration

Editors:

Daniel Girsberger Christoph Müller



The SAA Series on International Arbitration contains the best graduation papers of all participants who successfully completed the post-graduate studies in international arbitration of the SAA Swiss Arbitration Academy. The papers cover different important aspects of international arbitration.

The Swiss Arbitration Academy is a private institution co-founded and managed by the editors of this volume. Each year, the SAA offers and conducts an intensive and practical course in international arbitration. The training has been designed for lawyers, in-house counsels, and other professionals interested in cutting-edge international dispute resolution education. All participants who successfully complete the course, which includes the submission of the final paper, are awarded with the Certificate of Advanced Studies in Arbitration (CAS in Arbitration) and the Arbitration Practitioner title (ArbP).

Volume 6

Selected Papers on International Arbitration



All rights reserved. This book or any parts thereof may not be passed on to third parties in any form (for a fee or free of charge). The file contains a hidden watermark in which the download data is stored.

Bibliographic information published by the Deutsche Nationalbibliothek The Deutsche Nationalbibliothek lists this publication in the Deutsche Nationalbibliografie; detailed bibliographic data are available on the Internet at http://dnb.d-nb.de.

This publication is protected by international copyright law. All rights reserved. No part of this publication may be reproduced, stored in a retrieval system or transmitted in any form or by any means, electronic, mechanical, photocopying or otherwise, without the prior permission of the publishers except in cases permitted by law.

© Stämpfli Publishers Ltd. Berne · 2021 www.staempfliverlag.com

E-Book ISBN 978-3-7272-1985-6

In our online bookshop www.staempflishop.com the following edition is available:

Print ISBN 978-3-7272-1983-2

Note from the editors

The SAA-Series on International Arbitration contains the best graduation papers of all participants who have successfully completed the postgraduate studies in international arbitration of the Swiss Arbitration Academy (SAA) in co-operation with the Universities of Lucerne and Neuchâtel. Besides stern academic standards, the papers of those participants who achieved a high grade with their Certificate of Advanced Studies (CAS) must meet a high benchmark of significant relevance for the law and practice of international arbitration. In this Series, only the most exceptional final papers are published. They have been supervised by members of the SAA's Academic Council and edited by the undersigned.

In this 6th volume of the series, you will find 3 papers on different aspects of international arbitration. Christian Fischer proposes answers to the question of whether arbitration can be the solution for patent disputes involving standards. Christophe Levet analyses rights, duties and relationships of witnesses with counsel in international arbitration proceedings. Finally, Maria Škof looks closely at the complicated relationship between arbitration and EU Law.

The Swiss Arbitration Academy (SAA) offers and conducts intensive courses in international arbitration. The courses are designed for lawyers, in-house counsel, graduate students and other professionals interested in cutting edge international dispute resolution education. The Certificate of Advanced Studies (CAS) is a joint degree of the University of Lucerne and the University of Neuchâtel in collaboration with the SAA and is awarded to participants who successfully attend four modules of five days each. The program comprehensively examines all fundamental aspects of international commercial arbitration, including the practice and proceedings of the major arbitration institutions, such as the Swiss Chambers' Arbitration Institution (SCAI), ICC, LCIA, SCC, DIS, VIAC, and ICDR (AAA). In addition, the program reviews the features of ad hoc arbitration. For further details, please visit: http://cas-arbitration.ch/

The editors would like to thank the authors for their excellent contributions contained and are delighted to present their papers to the arbitration community. The editors further thank the Director of Studies, Teona Kvirikashvili for her important support in the editorial process. Last but not least, this publication was only made possible due to the continuous support of Stämpfli Verlag AG.

April 2021

Daniel Girsberger and Christoph Müller, Professors at the Universities of Lucerne and Neuchâtel

Table of contents

CHRISTIAN FISCHER

ent 1	Disputes Involving Standards - Is Arbitration a Solution?
Int	roduction
Pat	tent Disputes and Standards
	Patents to Promote and Protect Innovation
B.	Technology Standards and the Patent Holdup Problem
C.	The Patent Holdup Problem
D.	
E.	The Value Distribution of Patents and Portfolio Sampling
FR	AND Issues in Patent Disputes
	Why it is Difficult to Determine FRAND Royalties
	The FRAND Defense
C.	The FRAND Declaration as a Third Party Beneficiary
- ,	Contract
D.	
-	Market Position.
E.	Remarks on FRAND: Contractual vs. Competition Law
	Approach
Ar	bitration for Standard-Essential Patent Disputes
	Unilateral Arbitration Agreements Between the Patent
	Owner and the SSO to Favor Arbitration?
В.	
	1. Patent Infringement, Standard-Essentiality, and the
	FRAND Defense
	2. Patent Validity
Co	nclusion
	tion of the Author
:11p	uon of the Author
ISTC	OPHE LEVET
ness	es in international arbitration: rights, duties, and the
	ship with counsel
	roduction
	les applying to witness evidence - Overview
	this and duties of a witness
	Duty to tell the truth.
В.	Right to oppose confidentiality and trade secrets
	Interpretation Interpretation Interpretation Rugarian Actions Interpretation Interpretation Rugarian Actions Interpretation Interpretation Rugarian Actions Interpretation Interpr

		1. Applicable rules	39
			41
		3. Trade secrets privilege	42
	C.	Duty of witness to appear at hearing	43
			44
			46
	D.		47
			47
			48
		C	48
IV.	Sel		49
	A.		50
			50
			51
			53
			54
	B.		55
V.			57
			57
	B.	Potential applicable rules	59
	C.	Best practices	63
			63
			64
		<u>C</u>	67
			67
			70
			72
			73
	D.		75
			75
			75
			76
VI.	Co		77
			79
Desc	ııp.	uon or the Author	,,
MAR	IA Š	ŠKOF	
Arb	itra	tion and EU law: a complex relationship	
I.			85
II.		ty to refer to European Court of Justice - Preliminary	
			88

III.	Tr
	tribunals
	A. Application of EU law by arbitral tribunals
	B. Consideration of European public order by arbitral tribunals
IV.	Achmea judgment and tensions between arbitral tribunals
	and the European Commission and Member states
	A. Background
	B. Response of European Commission and Member states
	to Achmea
	C. Response of arbitral tribunals to <i>Achmea</i>
	D. PL Holdings in light of <i>Achmea</i>
	E. <i>Achmea</i> – applicable to European Energy Charter Treaty?
	F. CETA – an investor state dispute resolution mechanism
	consistent with EU law
V.	Conclusion
Desc	cription of the Author

List of Abbreviations

3G. Third generation mobile telephony standard

4K a video resolution, same UHD.

aCivProcA Austrian Civil Procedure Act [Zivilprozessordnung]

ADR Alternative Dispute Resolution

Art Article

ASA Swiss Arbitration Association

ASA Board Board of the Swiss Arbitration Association

B.V. Besloten vennootschap
B2C Business to Customer
BHG Federal Court of Justice
BIT Bilateral Investment Treaty

CCBE Code Code of Conduct for European Lawyers issued by the

Council of Bars and Law Societies of Europe in 2013

CETA Comprehensive Economic and Trade Agreement

CIArb Protocol for the Use of Party-Appointed Expert Witnesses

in

Protocol International Arbitration of the Chartered Institute of

Arbitrators of 2007

CJEU Court of Justice of the European Union
CJEU Court of Justice of the European Union
ECHR European Convention on Human Rights

ECJ European Court of Justice

ECLI European Case Law Identifier

ECT Energy Charta Treaty

ed. Editors

ETSI European Telecommunications Standard Institute

EU European Union
EU European Union

EURATOM European Atomic Energy Community

EuZW Europäische Zeitschrift für Wirtschaftsrecht

ff Folios following

FRAND Fair, Reasonable and Non-Discriminatory

FTC Federal Trade Commission

GATS General Agreement on Trade in Services

GesRZ Fachzeitschrift für Gesellschaftsrecht

HEVC High-Efficiently Video Coding

IBA International Bar Association

IBA Evidence

Rules

2010 IBA Rules on the Taking of Evidence

IBA Party IBA Guidelines on Party Representation in International Arbitration of 25 May 2013

Guidelines

ibid. Ibidem/in the same place

ICC International Chamber of Commerce

ICDR Rules International Dispute Resolution Procedures of the

International Centre for Dispute Resolution of 1 June 2014

ICSID International Centre for Settlement of Investment Disputes

idF In der Fassung/in the version of

IEEE Institute of Electrical and Electronic Engineers

ILA International Law Association

IP Intellectual Property

ISDS Investor-State Dispute Settlement

ITU International Telecommunications Union
LCIA London Court of International Arbitration

LTE Long-Term Evolution

MPEG-2 Moving Picture Expert Group

No Number

OI Official Journal

p. Page

para. Paragraph

XII