Cindy Vestergaard Editor

Blockchain for International Security

The Potential of Distributed Ledger Technology for Nonproliferation and Export Controls



Advanced Sciences and Technologies for Security Applications

Series Editor

Anthony J. Masys, Associate Professor, Director of Global Disaster Management, Humanitarian Assistance and Homeland Security, University of South Florida, Tampa, USA

Advisory Editors

Gisela Bichler, California State University, San Bernardino, CA, USA

Thirimachos Bourlai, Lane Department of Computer Science and Electrical Engineering, Multispectral Imagery Lab (MILab), West Virginia University, Morgantown, WV, USA

Chris Johnson, University of Glasgow, Glasgow, UK

Panagiotis Karampelas, Hellenic Air Force Academy, Attica, Greece

Christian Leuprecht, Royal Military College of Canada, Kingston, ON, Canada

Edward C. Morse, University of California, Berkeley, CA, USA

David Skillicorn, Queen's University, Kingston, ON, Canada

Yoshiki Yamagata, National Institute for Environmental Studies, Tsukuba, Ibaraki, Japan

Indexed by SCOPUS

The series Advanced Sciences and Technologies for Security Applications comprises interdisciplinary research covering the theory, foundations and domain-specific topics pertaining to security. Publications within the series are peer-reviewed monographs and edited works in the areas of:

- biological and chemical threat recognition and detection (e.g., biosensors, aerosols, forensics)
- crisis and disaster management
- terrorism
- cyber security and secure information systems (e.g., encryption, optical and photonic systems)
- traditional and non-traditional security
- energy, food and resource security
- economic security and securitization (including associated infrastructures)
- transnational crime
- human security and health security
- social, political and psychological aspects of security
- recognition and identification (e.g., optical imaging, biometrics, authentication and verification)
- smart surveillance systems
- applications of theoretical frameworks and methodologies (e.g., grounded theory, complexity, network sciences, modelling and simulation)

Together, the high-quality contributions to this series provide a cross-disciplinary overview of forefront research endeavours aiming to make the world a safer place.

The editors encourage prospective authors to correspond with them in advance of submitting a manuscript. Submission of manuscripts should be made to the Editor-in-Chief or one of the Editors.

More information about this series at http://www.springer.com/series/5540

Cindy Vestergaard Editor

Blockchain for International Security

The Potential of Distributed Ledger Technology for Nonproliferation and Export Controls



Editor Cindy Vestergaard Stimson Center Washington, DC, USA

ISSN 1613-5113 ISSN 2363-9466 (electronic)
Advanced Sciences and Technologies for Security Applications
ISBN 978-3-030-86239-8 ISBN 978-3-030-86240-4 (eBook)
https://doi.org/10.1007/978-3-030-86240-4

© Springer Nature Switzerland AG 2021

This work is subject to copyright. All rights are reserved by the Publisher, whether the whole or part of the material is concerned, specifically the rights of translation, reprinting, reuse of illustrations, recitation, broadcasting, reproduction on microfilms or in any other physical way, and transmission or information storage and retrieval, electronic adaptation, computer software, or by similar or dissimilar methodology now known or hereafter developed.

The use of general descriptive names, registered names, trademarks, service marks, etc. in this publication does not imply, even in the absence of a specific statement, that such names are exempt from the relevant protective laws and regulations and therefore free for general use.

The publisher, the authors and the editors are safe to assume that the advice and information in this book are believed to be true and accurate at the date of publication. Neither the publisher nor the authors or the editors give a warranty, expressed or implied, with respect to the material contained herein or for any errors or omissions that may have been made. The publisher remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

This Springer imprint is published by the registered company Springer Nature Switzerland AG The registered company address is: Gewerbestrasse 11, 6330 Cham, Switzerland

Acknowledgements

This volume is a collection of chapters by experts who represent a small but growing group of researchers who are studying distributed ledger technology (DLT) and its potential to create greater efficiencies and effectiveness in data management and information sharing related to international security. Their work is lacing new trails by investigating and testing the tech in ways that allow for independent analysis which is made public and available to the international non-proliferation community. I am indebted to them for these efforts as they have advanced our knowledge on the potential for DLT and non-proliferation. I am also grateful to them for their collaboration on this book, particularly for their flexibility and patience as the COVID-19 global pandemic messed with deadlines and response times. A shout out to all authors and to Gabrielle Green's ruthless review of draft texts. Special dedication also goes to Sarah Frazar and Andrea Viski for stepping in when I needed help the most. I am also grateful to the Carnegie Corporation of New York (CCNY) for their generous support in funding a portion of this research and to Springer for their support in publishing the book.

Cindy Vestergaard

Contents

Blockchain for International Security an Introduction	1
Distributed Ledger Technology: Beyond the Hype Cindy Vestergaard, Haimanot Anbesaw Bobosha, and Karolin Langfeldt	7
Blockchain Applications for Nuclear Safeguards Sarah Frazar	23
Blockchain Tools for Nuclear Safeguards Edward Obbard, Edward Yu, and Guntur Dharma Putra	37
Possibilities of Blockchain Technology for Nuclear Security	55
Blockchain for Global Trade in Dual-Use Chemicals	75
Blockchain Applications for Export Control Compliance and Global Supply Chain Integrity	89

Contributors

Haimanot Anbesaw Bobosha The Henry L. Stimson Center, Washington, DC, USA

Richard T. Cupitt The Henry L. Stimson Center, Washington, DC, USA

Diego Cándano Laris The Henry L. Stimson Center, Washington, DC, USA

Sarah Frazar Global Security Technology and Policy Group, Pacific Northwest National Laboratory, Seattle, WA, USA

Karolin Langfeldt Circulor, London, USA

Edward Obbard School of Mechanical and Manufacturing Engineering, University of New South Wales, Kensington, Australia

Guntur Dharma Putra School of Computer Science and Engineering, University of New South Wales, Kensington, Australia

Maria Lovely Umayam Venice, CA, USA; The Henry L. Stimson Center, Washington, DC, USA

Cindy Vestergaard The Stimson Center, Washington, DC, USA

Edward Yu School of Mechanical and Manufacturing Engineering, University of New South Wales, Kensington, Australia

Abbreviations

A/CPPNM Amended Convention for the Physical Protection of Nuclear Material

ANSTO Australian Nuclear Science and Technology Organisation

AP Additional Protocol

API Application Programming Interface

ASNO Australian Safeguards and Nonproliferation Office

ATT Arms Trade Treaty

BFT Byzantine Fault Tolerance
BIS Bureau of Industry and Security
CAS Chemical Abstracts Service

CPPNM Convention on the Physical Protection of Nuclear Material

CSA Comprehensive Safeguards Agreement

CWC Chemical Weapons Convention

DAG Directed Acyclic Graph

DAO Decentralized autonomous organization

DGR Deep Geological Repository
DLT Distributed Ledger Technology
EDI Electronic Data Interchange

EDIS Electronic Declaration Information System

EDNA Electronic Declaration tool for National Authorities

ERP Enterprise Resource Planning

EUC European Union
EUC End-User Certificate

EURATOM European Atomic Energy Community
GDPR General Data Protection Regulation
GTGA Government to government assurances

GUI Graphical User Interface GUI Graphical User Interface

IACC International Anti-Counterfeiting Coalition Inc

IAEA International Atomic Energy Agency

ICR Inventory Change Report

ICT Information and Communication Technology

INFCIRC Information Circular

xii Abbreviations

IoT Internet of Things
IP Intellectual Property
IPFS InterPlanetary File System

IPPAS International Physical Protection Advisory Service

ITT Intangible Transfers of Technology

KMP Key Measurement Point

KSI Keyless Signature Infrastructure

LLNL Lawrence Livermore National Laboratory

MBA Material Balance Area MBR Material Balance Report

NCA Nuclear Cooperation Agreement NGO Non-governmental Organization

NM Nuclear Material

NNWS Non-Nuclear Weapons State

NPT Treaty on the Proliferation of Nuclear Weapons

NSG Nuclear Suppliers Group

NUMBAT Nuclear Material Balances and Tracking

NW Nuclear WeaponsNWS Nuclear Weapons State

OPCW Organisation for the Prohibition of Chemical Weapons

PIL Physical Inventory Listing
PIV Physical Inventory Verification
PKI Public Key Infrastructure

PNNL Pacific Northwest National Laboratory SAFKA Finland's national safeguards database

SCM Supply Chain Management
SIX Secure Information Exchange
SLAFKA Shared Ledger SAFKA
SLUMBAT Shared Ledger NUMBAT

STUK Finnish Radiation and Nuclear Safety Authority

TPS Transactions Per Second

UNSC United Nations Security Council

UNSCR United Nations Security Council Resolution

UNSW University of New South Wales
URL Uniform Resource Locator
WEF World Economic Forum
WMD Weapons of Mass Destruction
WTO World Trade Organization

List of Figures

Distric	died Leager Technology. Deyond the Hype	
Fig. 1	Centralized ledger versus a distributed ledger [7]	9
Fig. 2	Hashing [7]	10
Fig. 3	Immutability [7]	10
Fig. 4	Server-based network versus P2P network [7]	11
Fig. 5	Public open platform versus private permissioned platform [7]	13
Fig. 6	What is in a block [7]	14
Fig. 7	Transaction in a permissioned DLT platform [7]	15
Blocke	hain Tools for Nuclear Safeguards	
Fig. 1	The participants in a SLUMBAT 2.0 demonstration	41
Fig. 2	View of the SLAFKA GUI from a nuclear operator called	
	FTPower	44
Possib	ilities of Blockchain Technology for Nuclear Security	
Fig. 1	Potential model of two blockchain ledgers storing digital	
	information and data regarding physical movement of material	67
Blocke	hain for Global Trade in Dual-Use Chemicals	
Fig. 1	Hypothetical flows of CWC declaration information	
	on scheduled chemicals	80
Blocke	hain Applications for Export Control Compliance and Global	
Supply	Chain Integrity	
Fig. 1	Effective export controls	94

List of Tables

Blockcha	un Tools for Nuclear Safeguards	
Table 1	SLAFKA batch attributes	46
Table 2	Summary of SLAFKA transactions	46
Table 3	Selected entries from the SLUMBAT access control list [4]	48
Table 4	Participants in SLAFKA and their corresponding type	
	in the SLUMBAT framework	49